appa

I h r by certify that this correspond nce is being deposited with the United Postal S rvice with suffici nt postag as priority mail in an env lope addressed to Assistant Commissioner for Patents and Trad marks, Washington, D.C. 20231 on Nov mber 28, 2001.

10 2001 55

Saulyn R. Khowandi Marlyn R. Khorsandi

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Smith, III et al.

Serial No.: 09/684010

Filed: October 6, 2000

Title: Online, Multi-Carrier, Multi-Service

Parcel Shipping Management Functional Alignment of Computer

**Devices** 

Attorney Docket No.: PSTM0002/MRK

Group Art Unit:

2161

RECEIVED

Examiner: Unknown

DEC 1 2 2001

Date: November 28, 2001

Technology Center 2100

Box Patent Application Assistant Commissioner for Patents Washington, D.C. 20231

140 S. Lake Ave., Suite 312 Pasadena, CA 91101-4710

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

## Commissioner:

## Section 1: Preliminary Statements:

Enclosed is Appendix A to U.S. Patent Application Serial No. 09/820,377 (Attorney Docket No. PSTM0041/MRK; titled: Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management") filed March 27, 2001, a copy of the application without Appendix A having previously been filed as a reference in the Supplemental Information Disclosure Statement dated October 18, 2001.

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the manual of patent examining procedure §§ 609 and 707.05(B), enclosed is Form PTO-1449 listing references that are known to applicant. Copies of each of the listed references are enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

While this Information Disclosure Statement, and the references cited in any enclosed Form PTO-1449 may be "material" pursuant to 37 CFR § 1.56, submission of

Serial No. 09/684010

this Information Disclosure Statement and citation of references listed in any enclosed Form PTO-1449 are not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically Edesignated as such.

DEC 10 2001 in accordance with 37 CFR 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56(a) exists.

It is respectfully requested that the listed references be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of Form PTO-1449 be entered in the application file and returned to Applicant with the next communication from the office in accordance with MPEP §609.

Section 2. FORM 1449

A list of the references is set forth on the enclosed Form PTO-1449.

Section 3. Statement as to Information Material to Examination Not Found In Patents or **Publications** 

The co-pending U.S. Patent Application Serial No. 09/820,377 (Attorney Docket No. PSTM0041/MRK; titled: "Apparatus, Systems and Methods for Online, Multi-Parcel, Multi-Carrier, Multi-Service Enterprise Parcel Shipping Management") filed on March 27, 2001 has been assigned to the assignee of the above-referenced application. Appendix A of the co-pending application was redacted for publication under 37 C.F.R. § 1.217 and is proprietary to the assignee until a patent issuing from the co-pending application is published under 35 U.S.C. § 122 and 37 C.F.R. §1.14. A marked-up copy of Appendix A showing in brackets material redacted from publication is submitted herewith under MPEP § 724.02 in a sealed container labeled in accordance with MPEP § 724.02.

Respectfully submitted,

Attorney for Applicant(s)

Khorsandi Patent Law Group, ALC 140 S. Lake Ave., Ste. 312

Pasadena, California 91101-4710 Telephone No.: (626) 796-2856

Fascimile: (626) 796-2864

Reg. No. 45744